

管院碩士班課程大綱

MS/MA Program Syllabus

2023.09.26 修訂

系所 Department		財金所 Finance 國際財管 IMF	必選修 compulsory/elective	必修 Compulsory
課程名稱 Course title		金融市場與機構 Financial Markets and Institutions	學分數 Credit(s)	3
課號 Course Code		5155300 02 (Finance) 5355000 01 (IMF)	全英文授課 English Taught(EMI)	是(Yes)
學年/學期 academic year/Semester		115 學年度第 1 學期 Fall 2026	上課地點 Classroom	Room 350
講授教師 Instructor		何月芳 Dr. Amy Yueh-Fang Ho	上課時間 Time	Wednesday 1:10 p.m. – 4:00 p.m.
教師辦公室&諮詢時間 Instructor office & office hour		Room 365 Wed. 4:00 p.m. - 5:00 p.m., Thur. 4:00 p.m. - 5:00 p.m. or by appointment	教師聯絡資訊 Instructor Contact	Phone: (05) 272-0411 ext. 34212 Email: amyfho@ccu.edu.tw
助教 Teaching assistant			助教 聯絡資訊 TA contact	Email:
先修課程 Pre-requisite courses		None		
學習目標 Learning Objective		Upon completion of the course, students should be able to: (1) Describe the special functions of financial institutions. (2) Identify factors that determine interest rates. (3) Describe the various domestic and foreign financial markets, including the bond, mortgage, stock, and foreign exchange markets. (4) Analyze the financial statements of a typical commercial bank. (5) Identify the risks facing modern financial institutions. (6) Measure credit, liquidity, interest rate, and insolvency risk.		
課程概述 Course Descriptions		This course focuses on the various financial markets and institutions, as well as the primary forms of risk faced by financial institutions. It is intended to provide students with the conceptual framework necessary to analyze and comprehend the current problems confronting managers of financial institutions in today's dynamic environment. The subject of decision-making in financial institutions is approached from a risk perspective.		
對應 AOL 職能素養(AOL Competency)				
職能素養 4(Competency 4): 全球視野 Global Perspective			選擇一個項目。	
課程類別 Course Attributes		☐ 人文關懷課程(Humanities Caring) ☐ 競賽專題課程(Competition) ☒ 問題導向課程(Problem-solving) ☐ 專題導向課程(Project-based) ☐ 實作課程(Practice-based) ☐ 總整課程(Capstone)		

教材編選 Teaching materials	☒ 自製簡報(self-made PPTs) ☒ 課程講義(Teaching Notes) ☐ 自編教科書(self-made textbooks) ☐ 教學程式(programming) ☐ 自製教學影片(self-made video) ☒ 其他(Others)			
教學資源 Teaching Resources	☒ 課程網站(Website) ☐ 實習網站(Intern Web) ☒ 教材電子檔供下載(Downloadable Files)			
教科書/參考書 Textbooks/References	Required Textbook and Materials: (1) Financial Markets and Institutions, 9th Edition, by Anthony Saunders, Marcia Millon Cornett, and Ogtontsetseg (Otgo) Erhemjamts (McGrawHill-Irwin, Hwa Tai, 2025, ISBN 978-1-266-82581-1). (2) Supplementary articles will be assigned throughout the course. Reference Book: Financial Institutions Management: A Risk Management Approach, 11th Edition, by Anthony Saunders and Marcia Cornett (McGraw Hill, Hwa Tai, 2024, ISBN 978-1-266-82581-1). ※「請尊重智慧財產權，不得非法影印教師指定之教科書籍」。 Please respect intellectual property rights, and do not photocopy the textbooks assigned by professors.			
評量方式(請填百分比) Assessment	Class Participation	10%	Group News Report (Presentation: 100%)	20%
	Class Attendance	10%	Group Literature Review (Presentation: 50%; Written Report: 50%)	25%
	Final Exam	35%		
其他說明 Other description	Course Policies: - Attendance and Leave Policy: Leave application procedures must be completed within one week of the absence date. Requests submitted after this period will not be accepted. - Late Submission Policy: Late submissions for assignments and projects will not be accepted under any circumstances. - Makeup Exam Policy: Makeup exams will not be given, and missed exams will be excused only in extreme circumstances. If you are unable to take the exam at the scheduled time, you must notify me IN ADVANCE by e-mail or telephone. Failure to provide advance notice will result in a grade of zero for the exam. Students will only be allowed to take a makeup exam if they provide a legitimate excuse accompanied by documentation from a medical doctor or an appropriate official authority. Makeup exams may be cumulative and entirely different from the original exam. No curve or extra credit will be given for any makeup exam. In addition, no early exams will be given under any circumstances. - AI Usage Policy: You may use generative AI tools (e.g., ChatGPT, Claude) to support learning, such as clarifying concepts or improving writing clarity. However, AI tools must not be used to complete graded assignments, projects, or exams in place of your own work. Any use of AI must be disclosed. Misuse of AI tools will be treated as a violation of academic integrity.			

課程規劃表 Course Schedule (Graduate)

週次 Week	日期 Date	教材章節 Chapter	主題 Subject	備註 Remark
1.	9/9		Syllabus & Overview	
2.	9/16	1 2	Introduction Determinants of Interest Rates	
3.	9/23	2	Determinants of Interest Rates (Cont'd)	Form Groups
4.	9/30	6	Bond Markets	Select News Topics
5.	10/7	7	Mortgage Markets	Select Lit. Topics
6.	10/14	8	Stock Markets	
7.	10/21	9	Foreign Exchange Markets	
8.	10/28	12	Commercial Banks' Financial Statements and Analysis	
9.	11/4	20	Types of Risks Incurred by Financial Institutions	News Report
10.	11/11	21	Managing Credit Risk on the Balance Sheet	News Report
11.	11/18	22	Managing Liquidity Risk on the Balance Sheet	News Report
12.	11/25	23	Managing Interest Rate and Insolvency Risk on the Balance Sheet	News Report
13.	12/2	23	Managing Interest Rate and Insolvency Risk on the Balance Sheet (Cont'd)	
14.	12/9		Literature Review Presentations	Literature Review Report
15.	12/16		Literature Review Presentations	Literature Review Report
16.	12/23		** FINAL EXAM **	
17.	12/30		Self-Study	
18.	1/6		Self-Study	

The schedule above is tentative and subject to adjustment as the class proceeds.