

Financial Markets and Institutions Syllabus

Course Information	
Academic Year & Semester	114/2, 2026 Spring
Class Schedule	Saturday, 4:10 PM – 7:00 PM
Venue	Room 201, College of Management
Credit(s)	3, Compulsory, for In-Service Master students
Instructor Contacting Information	
Instructor	Dr. Chia-Hsien Lin (林佳賢)
Contact Email	amberchlin@alum.ccu.edu.tw
Office Hour	Thursday 10:00 AM – 12:00 PM
Office Location	Office 339, College of Management
Teaching Assistant Contacting Information	
Teaching Assistant	
TA's Contact Email	
Course Description	
<p>This course aims to explore the fundamentals of financial markets and financial instruments, as well as the characteristics of modern financial market formation. It emphasizes the practical application of financial instruments, delves into various types of financial institutions, and examines their roles and functions within the financial markets.</p> <p>The knowledge, skills, and competences acquired through the study of this discipline will prove valuable for specialists in finance, banking, exchange business, and solving various administrative, analytical, research, settlement, and economic challenges. Furthermore, this knowledge will serve as a foundation for grasping financial concepts and applying analytical tools to develop effective financial strategies.</p> <p>Class sessions encompass both lectures and after-class practice. The lectures are meticulously crafted to acquaint students with fundamental concepts and their practical applications. A written examination (closed-book), comprising tests and problems, is a mandatory component of the learning process.</p>	

Textbook & References

Required textbook

- Financial Markets and Institutions, 8th Edition, Anthony Saunders, Marcia Millon Cornett, and Otgontsetseg (Otgo) Erhemjamts (ISBN: 978-1-265-56143-7)

The instructor reserves the right to adjust this syllabus as necessary. Any modifications will be communicated through class announcements.

Course Schedule

Week	Description	Remark
W1 (2/28)	Course introduction & Chapter 1: Introduction	
W2 (3/7)	Chapter 2: Determinants of Interest Rates	
W3 (3/14)	Chapter 2: Determinants of Interest Rates Chapter 3: Interest Rates and Security Valuation	
W4 (3/21)	Chapter 3: Interest Rates and Security Valuation	
W5 (3/28)	Chapter 4: The Federal Reserve System, Monetary Policy, and Interest Rates (I)	
W6 (3/29)	Chapter 4: The Federal Reserve System, Monetary Policy, and Interest Rates (I)	Makeup Course Assignment
W7 (4/11)	Chapter 5: Money Market	
W8 (4/18)	Chapter 5: Money Market	Submission Deadline
W9 (4/25)	Chapter 6: Bond Markets	
W10 (5/2)	Chapter 6: Bond Markets	
W11 (5/9)	Chapter 7: Mortgage Market	
W12 (5/16)	Chapter 7: Mortgage Market	
W13 (5/23)	Chapter 8: Stock Markets	
W14 (5/30)	Chapter 8: Stock Markets	
W15 (5/31)	Chapter 9: Foreign Exchange Markets	
W16 (6/7)	Chapter 9: Foreign Exchange Markets	
W17 (6/13)	Final Examination	

Evaluation Criteria

Grading Policy

Your grade will be based on the following:

- Exam (50%)
- Assignment (30%)
- Attendance (20%)

Exam (50%)

- There will be one final closed-book exam in week 17.
- Students are permitted to bring a hand-written, A4-size, double-sided formula sheet to the examination. Only relevant formulas are permitted on the formula sheet. Any additional context, such as the calculation process of example questions, is prohibited.
- Formula sheets will be distributed along with the examination question sheet and answer sheet before students leave the classroom. The formula sheet will be checked together with the answer sheet. Any instances of cheating or unauthorized content on the formula sheet will result in a score of zero.
- All class discussions will be included in the exams, including those not covered in the textbook. Therefore, students who miss any class meeting cannot complain about unknown content appearing in the exams.
- Absence from the exams will not be excused, except for serious medical reasons with a doctor's letter (detailing why the student cannot perform). There will be no make-up exams for other reasons.

Assignment (30%)

- The assignment will be distributed in Week 6 and will account for 30% of your final grade. It comprises both a calculation component and an Excel practice section.
- Students are required to submit their completed assignments by Week 8. Please ensure that your submission is on time, as any delay without a valid reason will not be accepted.

Attendance (20%)

- There will be 5 in-class interaction quizzes, each comprising 12 multiple-choice questions. Students who accumulate points from these quizzes will receive attendance points according to the policies outlined below:
 - Students who accumulate more than 40 points from the quizzes will receive 20 out of 20 points for attendance.
 - Students who accumulate 39-30 points from the quizzes will receive 16 out of 20 points for attendance.
 - Students who accumulate 29-20 points from the quizzes will receive 10 out of 20 points for attendance.
 - Students who accumulate 19-1 points from the quizzes will receive 7 out of 20 points for attendance.