

## Financial Markets and Institutions Syllabus

| <b>Course Information</b>  |   |
|--|---|
| Academic Year & Semester   | 114/2, 2026 Spring                            |
| Class Schedule   | Saturday, 4:10 PM – 7:00 PM                   |
| Venue  | Room 201, College of Management               |
| Credit(s)  | 3, Compulsory, for In-Service Master students |
| <b>Instructor Contacting Information</b>   |   |
| Instructor   | Dr. Chia-Hsien Lin (林佳賢)                      |
| Contact Email  | amberchlin@alum.ccu.edu.tw                    |
| Office Hour  | Thursday 10:00 AM – 12:00 PM                  |
| Office Location  | Office 339, College of Management             |
| <b>Teaching Assistant Contacting Information</b>   |   |
| Teaching Assistant   |   |
| TA's Contact Email   |   |
| <b>Course Description</b>  |   |
| <p>This course aims to explore the fundamentals of financial markets and financial instruments, as well as the characteristics of modern financial market formation. It emphasizes the practical application of financial instruments, delves into various types of financial institutions, and examines their roles and functions within the financial markets.</p> <p>The knowledge, skills, and competences acquired through the study of this discipline will prove valuable for specialists in finance, banking, exchange business, and solving various administrative, analytical, research, settlement, and economic challenges. Furthermore, this knowledge will serve as a foundation for grasping financial concepts and applying analytical tools to develop effective financial strategies.</p> <p>Class sessions encompass both lectures and after-class practice. The lectures are meticulously crafted to acquaint students with fundamental concepts and their practical applications. A written examination (closed-book), comprising tests and problems, is a mandatory component of the learning process.</p> |   |

| <b>Textbook &amp; References</b>  |   |                          |
|---|---|--------------------------|
| <p><b><u>Required textbook</u></b></p> <ul style="list-style-type: none"> <li>Financial Markets and Institutions, 8th Edition, Anthony Saunders, Marcia Millon Cornett, and Otgontsetseg (Otgo) Erhemjamts (ISBN: 978-1-265-56143-7)</li> </ul> <p><i>The instructor reserves the right to adjust this syllabus as necessary. Any modifications will be communicated through class announcements.</i></p> |   |                          |
| <b>Course Schedule</b>  |   |                          |
| <b>Week</b>   | <b>Description</b>  | <b>Remark</b>            |
| W1 (2/28)   | Course introduction & Chapter 1: Introduction   |                          |
| W2 (3/7)  | Chapter 2: Determinants of Interest Rates   |                          |
| W3 (3/14)   | Chapter 2: Determinants of Interest Rates<br>Chapter 3: Interest Rates and Security Valuation |                          |
| W4 (3/21)   | Chapter 3: Interest Rates and Security Valuation  |                          |
| W5 (3/28)   | Chapter 4: The Federal Reserve System, Monetary Policy, and Interest Rates (I)                |                          |
| W6 (3/29)   | Chapter 4: The Federal Reserve System, Monetary Policy, and Interest Rates (I)                | Makeup Course Assignment |
| W7 (4/11)   | Chapter 5: Money Market   |                          |
| W8 (4/18)   | Chapter 5: Money Market   | Submission Deadline      |
| W9 (4/25)   | Chapter 6: Bond Markets   |                          |
| W10 (5/2)   | Chapter 6: Bond Markets   |                          |
| W11 (5/9)   | Chapter 7: Mortgage Market  |                          |
| W12 (5/16)  | Chapter 7: Mortgage Market  |                          |
| W13 (5/23)  | Chapter 8: Stock Markets  |                          |
| W14 (5/30)  | Chapter 8: Stock Markets  |                          |
| W15 (5/31)  | Chapter 9: Foreign Exchange Markets   |                          |
| W16 (6/7)   | Chapter 9: Foreign Exchange Markets   |                          |
| <b>W17 (6/13)</b>   | <b>Final Examination</b>  |                          |

## Evaluation Criteria

### **Grading Policy**

Your grade will be based on the following:

- Exam (50%)
- Assignment (30%)
- Attendance (20%)

#### *Exam (50%)*

- There will be one final closed-book exam in week 17.
- Students are permitted to bring a hand-written, A4-size, double-sided formula sheet to the examination. Only relevant formulas are permitted on the formula sheet. Any additional context, such as the calculation process of example questions, is prohibited.
- Formula sheets will be distributed along with the examination question sheet and answer sheet before students leave the classroom. The formula sheet will be checked together with the answer sheet. Any instances of cheating or unauthorized content on the formula sheet will result in a score of zero.
- All class discussions will be included in the exams, including those not covered in the textbook. Therefore, students who miss any class meeting cannot complain about unknown content appearing in the exams.
- Absence from the exams will not be excused, except for serious medical reasons with a doctor's letter (detailing why the student cannot perform). There will be no make-up exams for other reasons.

#### *Assignment (30%)*

- The assignment will be distributed in Week 6 and will account for 30% of your final grade. It comprises both a calculation component and an Excel practice section.
- Students are required to submit their completed assignments by Week 8. Please ensure that your submission is on time, as any delay without a valid reason will not be accepted.

#### *Attendance (20%)*

- There will be 5 in-class interaction quizzes, each comprising 12 multiple-choice questions. Students who accumulate points from these quizzes will receive attendance points according to the policies outlined below:
  - Students who accumulate more than 40 points from the quizzes will receive 20 out of 20 points for attendance.
  - Students who accumulate 39-30 points from the quizzes will receive 16 out of 20 points for attendance.
  - Students who accumulate 29-20 points from the quizzes will receive 10 out of 20 points for attendance.
  - Students who accumulate 19-1 points from the quizzes will receive 7 out of 20 points for attendance.