

# Investments

## Syllabus

<b>Course Information</b>	
Course title	Investments
Academic Year & Semester	114/2, 2026 Spring Semester
Course Time & Venue	Mon. 0100PM – 0400PM, College of Management 201
Prerequisites	Financial Management (I), Statistics (I)
Credit(s)	3, Compulsory, for Undergraduate Students
<b>Instructor Contacting Information</b>	
Instructor	Dr. Chia-Hsien Lin
Contact Email	amberchlin@alum.ccu.edu.tw
Office Hour & Office Number	Wed. 1300AM – 1500PM, College of Management 339 <u>Feel free to reach out by email to schedule an appointment if you would like to discuss any matters with the instructor. Please note that the instructor may not be available without a prior appointment.</u>
<b>Detailed Information</b>	
Course Description	<p>This course serves as an introduction to investments, providing undergraduate students with a comprehensive understanding of key concepts in investments and modern portfolio management. The curriculum begins by exploring the foundational aspects of investments, followed by an in-depth examination of various investment instruments and financial markets. Subsequently, the course delves into fundamental asset pricing models and covers portfolio management, including optimization techniques and performance evaluation. Additionally, the course provides a detailed study of the valuation of typical investment instruments.</p> <p>Upon completion, students will possess practical applications of investment theory and fundamental knowledge to manage their own investment portfolios.</p>
Teaching Materials	Textbook, Slides

Textbooks & Reference	<p>Essentials of Investments, 13th Edition, Zivi Bodie, Alex Kane, and Alan J. Marcus, McGraw Hill Education (ISBN : 9781265450090)</p> <p><u>The instructor retains the authority to make adjustment to this syllabus as necessary. Any modifications will be communicated through class announcements.</u></p>
Grading Policies	<p><b><u>Exams (55%)</u></b></p> <ul style="list-style-type: none"> <li>• There will be one mid-term (25%) and one final (30%) closed-book exams.</li> <li>• All class discussions will be included in the exams, including those not covered in the textbook. Therefore, students who miss any class meeting cannot complain about unknown content appearing in the exams.</li> <li>• Absence from the exams will not be excused, except for serious medical reasons with a doctor's letter (detailing why the student cannot perform). There will be no make-up exams for other reasons.</li> </ul> <p><b><u>Attendance (15%)</u></b></p> <ul style="list-style-type: none"> <li>• Students are required to sign in for the course starting from Week 3.</li> <li>• Attendance Policy: <ul style="list-style-type: none"> <li>◦ Up to <b>four absences</b>: No impact on your attendance grade.</li> <li>◦ <b>Five to six absences</b>: You will receive 12 points for attendance.</li> <li>◦ <b>Seven or eight</b>: You will receive 5 points for attendance.</li> <li>◦ <b>Eight or more</b> absences: You will not receive any</li> </ul> </li> <li>• Please note that the instructor has already provided multiple opportunities to accommodate absences. Therefore, any absence—including those due to sickness or official leave—will still be counted toward the total number of absences.</li> </ul> <p><b><u>Assignment (30%)</u></b></p> <ul style="list-style-type: none"> <li>• There will be two assignments for the students in weeks 6 and 13. Each assignment will account for 15 points in your final grade.</li> <li>• Students must submit their assignments for the respective course, specifying weeks 8 and 14.</li> <li>• Please turn in your assignment on time. Any delay in submission without a reasonable cause is not allowed.</li> </ul>

<b>Course Schedule</b>				
<b>Week</b>	<b>Date</b>	<b>Description</b>	<b>Textbook</b>	<b>Remark</b>
1	2/23	Course Introduction		
2	3/2	Background and Issues	Ch 1	
3	3/9	Asset Classes and Financial Instruments & Security Markets	Ch 2-3	
4	3/16	Mutual Funds and Other Investment Companies	Ch 4	
5	3/23	Risk, Return, and Historical Record	Ch 5	
6	3/30	Risk, Return, and Historical Record	Ch 5	<b>Assignment I</b>
7	<b>4/6</b>	<b>Spring Vacation</b>		
8	4/13	Efficient Diversification	Ch 6	
9	<b>4/20</b>	<b>Midterm Examination</b>	<b>Ch 1-6</b>	
10	4/27	Efficient Diversification	Ch 6	
11	5/4	Capital Asset Pricing and Arbitrage Pricing Theory	Ch 7	
12	5/11	Capital Asset Pricing and Arbitrage Pricing Theory	Ch 7	
13	5/18	Capital Asset Pricing and Arbitrage Pricing Theory	Ch 7	<b>Assignment 2</b>
14	5/25	The Efficient Market Hypothesis	Ch 8	
15	6/1	Behavior Finance and Technical Analysis	Ch 9	
<b>16</b>	<b>6/8</b>	<b>Final Examination</b>	<b>Ch 6-9</b>	
17	6/15	Flexible Course		
18	6/22	Flexible Course		